

10-17-02

2681

EXPRESS MAIL LABEL NO.: EV 100 809 294 US

PATENT APPLICATION  
Docket No.: 15689.64



#6

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Takatoshi OKAGAWA, et al.

Serial No.: 09/762,193

Filed: February 2, 2001

Confirmation No.: None

For: **MOBILE COMMUNICATION NETWORK AND DATA  
DISTRIBUTION IN MOBILE COMMUNICATION NETWORK**

Examiner: Not yet assigned

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OCT 21 2002

Technology Center 2600

INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. § 1.97

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each of the listed references or relevant portion thereof is also enclosed.

In accordance with 37 C.F.R. § 1.98(c), all English translations within the possession, custody, control or availability of anyone designated in 37 C.F.R. § 1.56(c) of each nonenglish reference, if any, are also enclosed.

Please credit any over payment or charge any additional fees to Deposit Account No. 23-3178 of the undersigned.

Dated this 15<sup>th</sup> day of October, 2002

Respectfully submitted,



ADRIAN J. LEE  
Attorney for Applicant  
Registration No. 42,785



**022913**

PATENT TRADEMARK OFFICE

AJL: idc

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**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
15689.64

In Re Application Of: **Takatoshi OKAGAWA et al.**

OCT 15 2002

Serial No.  
0062,193

Filing Date  
February 2, 2001

Examiner  
Not yet assigned

Group Art Unit  
2681

Title: **MOBILE COMMUNICATION NETWORK AND DATA DISTRIBUTION IN MOBILE COMMUNICATION NETWORK**

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Address to:  
Assistant Commissioner for Patents  
Washington, D.C. 20231

OCT 21 2002  
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**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

**OR**

☐ the fee set forth in 37 CFR 1.17(p).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
15689.64

In Re Application: Takatoshi OKAGAWA et al.

OCT 15 2002

Serial No.  
097762,193

Filing Date  
February 2, 2001

Examiner  
Not yet assigned

Group Art Unit  
2681

MOBILE COMMUNICATION NETWORK AND DATA DISTRIBUTION IN MOBILE  
NETWORK

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**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 23-3178 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

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**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

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Typed or Printed Name of Person Mailing Certificate

\*This certificate may only be used if paying by deposit account.

Signature

Dated: October 14, 2002

Adrian J. Lee  
Attorney for Applicant  
Registration No. 42,785

CC:

**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**

Applicant(s): Takatoshi OKAGAWA et al.

Docket No.

15689.64

Serial No.

Not yet assigned

Filing Date

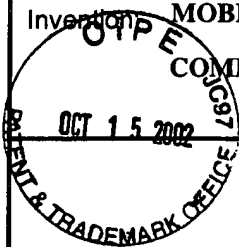
February 2, 2001

Examiner

Not yet assigned

Group Art Unit

2681

Invention: MOBILE COMMUNICATION NETWORK AND DATA DISTRIBUTION IN MOBILE  
COMMUNICATION NETWORK**RECEIVED**

OCT 21 2002

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I hereby certify that this (see correspondence listed below)

(Identify type of correspondence)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under  
37 CFR 1.10 in an envelope addressed to: The Commissioner of Patents and Trademarks, Washington, D.C.

20231-0001 on October 15, 2002  
(Date)

Ilona D. Craske

(Typed or Printed Name of Person Mailing Correspondence)

(Signature of Person Mailing Correspondence)

EV 100 809 294 US

("Express Mail" Mailing Label Number)

**Submitted:**

Transmittal of Information Disclosure Statement  
Information Disclosure Statement  
PTO-1449 with 4 cited references  
Certificate of Mailing - EV 100 809 294 US  
Postcard

**Pages**

2  
2  
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